

Docket No. 288920US0PCT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Shinichi YANAGI, et al.

SERIAL NO: New U.S. PCT Application Based on PCT/JP04/14976

GAU:

FILED: Herewith

EXAMINER:

FOR: LUBRICATING OIL ADDITIVE AND LUBRICATING OIL COMPOSITION

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- ☒ The applicant(s) wish to make of record the references cited in the Search Report and listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**


- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. <b>288920US0PCT</b>		SERIAL NO. New U.S. PCT Application Based on PCT/JP04/14976	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT <b>Shinichi YANAGI, et al.</b>			
FILING DATE Herewith				GROUP			
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES                  NO		
	AF	2002-105478	04/10/02	JP (equivalent of US2002/0072478)			NO
	AG	2000-109868	04/18/00	JP (English abstract only)			NO
	AH	2000-109870	04/18/00	JP (English abstract only)			NO
	AI	2000-109871	04/18/00	JP (English abstract only)			NO
	AJ	2000-109873	04/18/00	JP (English abstract only)			NO
	AK	2000-345181	12/12/00	JP (equivalent of US6 303 546)			NO
	AL	1-282296	11/14/89	JP (equivalent of US4 855 074)			NO
	AM	2-140299	05/29/90	JP (equivalent of US4 857 214)			NO
	AN	2-289691	11/29/90	JP (equivalent of US5 164 103)			NO
	AO	4-23892	01/28/92	JP (English abstract only)			NO
	AP	5-186788	07/27/93	JP (equivalent of US5 817 605)			NO
	AQ	7-316578	12/05/95	JP (equivalent of EP0 683 220)			NO
	AR	5-98282	04/20/93	JP (equivalent of EP0 492 934)			NO
	AS	5-263090	10/12/93	JP (equivalent of EP0 531 000)			NO
	AT	4-342794	11/30/92	JP (equivalent of EP0 493 928)			NO
	AU	57-61090	04/13/82	JP (equivalent of US4 306 984)			NO
	AV	2003-520892	07/08/03	JP (equivalent of US6 362 355)			NO
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>							
	AW						
	AX						
	AY						
	AZ					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							